

Final Orders Including Pro Se Whistleblowers, according to SEC materials

DATE	FILE NUMBER	AWARD AMOUNT
Sept. 17, 2021	2021-94	\$7,000,000
Sept. 16, 2021	2021-92	\$1,200,000
Mar. 4, 2021	2021-32	Claimants 1 & 2: \$5,000,000
Feb. 25, 2021	2021-30	\$800,000
Feb. 25, 2021	2021-29	\$900,000
Feb. 19, 2021	2021-26	\$700,000
Feb. 19, 2021	2021-25	\$2,200,000
Jan. 14, 2021	2021-24	\$600,000
Jan. 7, 2021	2021-22	\$100,000
Jan. 7, 2021	2021-20	Claimant 1: \$170,000 Claimant 2: \$170,000
Dec. 18, 2020	2021-17	\$1,200,000
Nov. 24, 2020	2021-10	30%
Nov. 20, 2020	2021-9	30%
Nov. 19, 2020	2021-8	\$900,000
Nov. 13, 2020	2021-7	\$1,100,000

Sept. 30, 2020*	2020-39	\$2,900,000
Sept. 30, 2020	2020-36	Claimant 1: \$22,000,000 Claimant 2: \$7,000,000
Sept. 28, 2020	2020-35	\$1,800,000
Sept. 14, 2020	2020-30	\$10,000,000
Aug. 31, 2020	2020-27	\$1,250,000
Jul. 21, 2020	2020-25	20%
Jul. 21, 2020	2020-24	30%
Jul. 14, 2020	2020-23	\$3,800,000
Jun. 19, 2020	2020-21	\$700,000
May 4, 2020	2020-18	\$2,000,000
Apr. 28, 2020	2020-17	\$18,000,000
Apr. 20, 2020	2020-16	30%
Apr. 20, 2020	2020-15	\$5,000,000
Apr. 3, 2020	2020-12	\$2,000,000
Mar. 24, 2020	2020-9	Claimant 1: \$478,000 Claimant 2: \$94,000
Feb. 28, 2020	2020-7	\$7,000,000

Jan. 22, 2020*	2020-6	\$277,000
Jan. 22, 2020	2020-5	\$45,000
Aug. 29, 2019	2019-9	\$1,800,000
Jul. 23, 2019	2019-8	\$500,000
Sept. 14, 2018	2018-12	\$1,500,000
May 2, 2017	2017-9	\$500,000
Jan. 23, 2017	2017-6	Claimant 1: \$4,000,000 Claimants 2 & 3: \$3,000,000
Jan. 6, 2017	2017-5	\$5,500,000
Nov. 14, 2016	2017-1	\$20,000,000
May 17, 2016	2016-10	Between \$5,000,000, - \$6,000,000
Apr. 5, 2016*	2016-7	More than \$275,000
Jan. 15, 2016	2016-2	\$700,000
Sept. 28, 2015	2015-7	Claimant 1: 11% Claimant 2: 9%
Sept. 22, 2014*	2014-10	Between \$30,000,000 - \$35,000,000
Aug. 29, 2014	2014-9	\$300,000
Jul. 22, 2014	2014-6	Claimant 1: 15% Claimant 2: 10% Claimant 3: 5%

Oct. 30, 2013	2014-2	\$150,000
Aug. 30, 2013	2013-3	Claimant 1: 5% Claimant 2: 5% Claimant 3: 5%
Jun. 12, 2013	2013-1	Claimants 1, 2, & 3: 5% EACH
Aug. 21, 2012*	2012-1	30%

NOTE: In cases with multiple claimants, it is unknown which of the claimants may have been pro se, just that there was at least one among the group.

Source: The information in this chart was derived from various FOIA responses from the SEC to Professor Platt, analysis made by Professor Platt (*), and compiled by WNN. All rights reserved.